## Unforeseeable Emergency Withdrawal Request Governmental 457(b) Plan



## **Wisconsin Deferred Compensation Program**

98971-01

| Participant Information                             | n   |               |  |                           |  |  |  |
|---|---|---------------|--|---------------------------|--|--|--|
| Last Name   | First Name  | MI            | Social Security Number  Account Extension (if applicable)                              |                           |  |  |  |
|   |   |               |  |                           |  |  |  |
|   |   |               | E-Mail Address   |                           |  |  |  |
|   |   |               | ☐ Married ☐ Unmarried  |                           |  |  |  |
| ( )   | ( )   |               |  | Mo Day Year               |  |  |  |
| Home Phone  | Work Phone  |               | Please Select One:   |                           |  |  |  |
|   |   |               | ☐ U.S. Citizen ☐ U.S. Resident Alien   | Date of Birth             |  |  |  |
|   |   |               | ☐ Other:   |                           |  |  |  |
|   |   |               | Country of Residence:  | (Required)                |  |  |  |
| section below. Beneficiary complete a Death Benefit | Account - If you acquired this Claim Request form.                |               | ature notarized or witnessed by your Plan e to the death of the participant do not com |                           |  |  |  |
| Unforeseeable Emerger                               | ncy Withdrawal Amount   |               |  |                           |  |  |  |
| Please specify the dollar a                         | mount you are requesting to sati                                  | sfy your un   | foreseeable emergency: \$  | ☐ Net Amount              |  |  |  |
| If your request is approved                         | l, the withdrawal of funds will l                                 | e processed   | on a pro-rata basis across all available inves   | stment options.           |  |  |  |
| Unforeseeable Emerger                               | ncy Withdrawal Reason   |               |  |                           |  |  |  |
| You must complete the Administrator to support      |   | Emergency     | Withdrawal Request and provide docum   | entation to your Plan     |  |  |  |
| Do not complete this form                           | m if you have separated from                                      | service.      |  |                           |  |  |  |
| <b>Distribution Delivery</b>                        |   |               |  |                           |  |  |  |
| ☐ Check   |   |               |  |                           |  |  |  |
| ☐ Express Delivery - \$2 boxes.                     | 5.00 non-refundable charge - E                                    | Express deliv | very available Monday through Friday only.   | Not available to P.O.     |  |  |  |
| ☐ <b>ACH</b> - Available for a                      | \$15.00 non-refundable charge.                                    | ACH credit    | can only be made into a United States finance  | cial institution.         |  |  |  |
| ☐ Checking Account -                                | must attach preprinted voided of                                  | heck          |  |                           |  |  |  |
|   | nust attach a letter on financial neludes your name, savings acco |               | etterhead signed by a representative of the fa<br>and ABA routing number               | inancial institution that |  |  |  |
| Financial Institution Name                          | Ac  | count Numb    | er ABA Routing Numb  | ber                       |  |  |  |
| Financial Institution Mailin                        | ng Address Cit  | y             | State/Zip Code   |                           |  |  |  |



| Last Name                 | <b>;</b>           | First Name  | MI                   |                        |                               | Social Security                    | y Number                               |
|---------------------------|--------------------|---|----------------------|------------------------|-------------------------------|------------------------------------|--|
| Address Change/           | Alternate          | Mailing Address   |                      |                        |                               |                                    |  |
|                           |                    | ress Change - I unde vided on this form.                            | erstand that a check | made payable to        | me requested or               | n this form will                   | be mailed to my                        |
| changing my pr            | imary add          | only - I understand tress on this form. Fa is essential for corres  | ailure to do so wil  | ll/may result in my    | my address w<br>address being | vith my employe<br>incorrect on Se | er in addition to<br>ervice Provider's |
| Addr                      | ress - Numi        | ber & Street  |                      | C                      | ity                           | State                              | Zip Code                               |
|                           |                    | ss - I understand that  | this address will be |                        | •                             |                                    | 1                                      |
|                           | ing riddi o        | is I didoistand that  | unis address will o  | e asea for a one th    | ne partiar distri             |                                    |  |
| Addr                      | ress - Num         | ber & Street  |                      | C                      | ty                            | State                              | Zip Code                               |
| mailing address, y        | ou must l          | nange that is submit<br>have your signature<br>ch your signature wa | notarized or wit     | tnessed by your F      | lan Administr                 | ator. The date                     |  |
| Participant Signatu       | ire                |   | Date                 | <del></del>            |                               |                                    |  |
|                           |                    |   | Statement of N       | otary                  |                               |                                    |  |
|                           |                    | NOTE: Not   | tary seal must be v  | risible, if applicable | <b>2.</b>                     |                                    |  |
| State of                  | )                  | This request was su   | bscribed and sworn   | to (or affirmed) be    | fore me on this               |                                    | day                                    |
|                           | ) ss.              | of  | , year               | , by                   |                               |                                    | _ (name of                             |
| County of                 | )                  | participant) proved   | to me on the basis   | of satisfactory evide  | nce to be the pe              | rson who appeare                   | ed before me.                          |
|                           |                    |   |                      |                        |                               |                                    |  |
|                           |                    |   |                      |                        |                               |                                    |  |
|                           |                    |   |                      |                        |                               | SEAL                               |  |
|                           |                    |   |                      |                        |                               |                                    |  |
|                           |                    | Notary Public   |                      |                        | My co                         | ommission expire                   | es                                     |
|                           |                    |   | -OF                  | <b>R</b> -             |                               |                                    |  |
|                           |                    |   | Statement of Plan    | Administrator          |                               |                                    |  |
| I certify that the part   | icipant sign       | ned the Address Chang   | ge/Alternate Mailin  | g Address section in   | my presence.                  |                                    |  |
| Plan Administrator        | Signature          | e   | Date                 |                        |                               |                                    |  |
| Federal and State         | e Income           | Tax Withholding   | - Applies to all a   | applicable mone        | sources                       |                                    |  |
| Federal Income Ta         | ı <b>x -</b> We wi | ill withhold 10% for f  | federal income tax.  |                        |                               |                                    |  |
| If you would like amount. | additional         | federal income tax  | withheld, indicate   | amount \$              | or                            | % of                               | the distribution                       |
| ☐ Do NOT withhol          | ld federal i       | ncome tax from my u   | unforeseeable emer   | gency distribution.    |                               |                                    |  |
| State Income Tax -        | - If you liv       | ve in a state that mand   | dates state income   | tax withholding, it    | will be withhel               | d.                                 |  |
|                           |                    | state that does not m   |                      | _                      |                               |                                    |  |
| If you would like amount. | additional         | state income tax wi   | thheld, indicate an  | nount \$               | or                            | % of                               | the distribution                       |

| I  |   |  |  |
|--|---|--|--|
| Last Name  | First Name  | MI   | Social Security Number   |
| Required Signature(s) and  | Date  |  |  |
| Participant Consent  |   |  |  |
| Any person who knowing   | y presents a false or   | fraudulent claim                           | is subject to criminal and civil penalties.  |
| am requesting a distribution du  | e to an unforeseeable em<br>lan has an authority to ap                                  | ergency within the oprove or reject my     | prohibits distributions prior to occurrence of certain events. I meaning of Section 457 of the Code and my Section 457(b) request. I understand that supporting documentation must be  |
| I hereby certify under penalty of  | of perjury that information   | n provided by me o                         | n this withdrawal request is true and accurate.  |
| I have obtained all available di<br>under all plans maintained by r            |   |  | ency distribution, and all nontaxable loans currently available  |
| insurance, cessation of deferral<br>itself cause a severe financial            | s under the Plan, loans, l<br>hardship, or by any o                                     | iquidation of other<br>other means availab | le resources such as reimbursement or compensation from assets to the extent the liquidation of such assets would not ble to me. I understand that the amount of unforeseeable never exceed my vested account balance.                                       |
| I understand I am responsible f  | or any applicable income  | tax and/or penaltie                        | s on this distribution.  |
|  |   |  | redemptions or exchanges if assets are held for less than the ll refer to the fund's prospectus and/or disclosure documents  |
| Under penalty of perjury, I certhis request is correct, and that request form. | tify that a Social Securit<br>I am a U.S. person if I o                                 | y number (or a Tachecked the U.S. C        | xpayer Identification Number) as shown on the first page of itizen box or the U.S. Resident Alien box on this withdrawal   |
| Department of the Treasury ("C   | OFAC"). As a result, Serv<br>a specially designated n                                   | vice Provider canno                        | ns and requirements of the Office of Foreign Assets Control, of conduct business with persons in a blocked country or any person. For more information, please access the OFAC Web   |
| Participant Signature  |   | Date (F                                    | Required)  |
|  | Partici   | <b>pant</b> forward to Plan                | n Administrator/Trustee  |
| Authorized Plan Administrator/   | Γrustee Approval  |  |  |
| and any other Internal Revenue<br>and the appropriate consent and              | e Service, Department of<br>I waivers have been obtains<br>s request. I affirm that the | Labor or other not ined by the Plan Ac     | led the participant with a written explanation of the tax rules tice requirements to the participant that apply to this request dministrator and the Service Provider is authorized to rely on d an unforeseeable emergency distribution with respect to the |
| I am forwarding the Unforeseeal  | ole Emergency Withdrawa   | 1 Request form and                         | I am retaining the application and supporting documentation for  |

my records only.

**Authorized Plan Administrator/Trustee Signature** 

**Date** 

Plan Administrator/Trustee forward to Service Provider at: Wisconsin Deferred Compensation®

5325 Wall Street Suite 2755 Madison, WI 53718

**Phone #:** 1-877-457-9327 Fax #: 1-608-241-6045

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## **Unforeseeable Emergency Withdrawal Certification**

Your Section 457(b) Plan permits unforeseeable emergency withdrawals only to the extent a participant demonstrates to the satisfaction of the Plan that the reason for such withdrawal complies with applicable requirements under Internal Revenue Code and the Plan. You can only request an unforeseeable emergency withdrawal if you find that your situation warrants such request and you have sufficient documentation to support it.

The amount that may be distributed from the Plan is limited to the amount reasonably necessary to meet the unforeseeable emergency need after all other financial means available to you are taken into consideration.

If you elect not to have federal income tax withheld or if you do not have enough federal or state income tax withheld from your distribution, you may be responsible for payment of estimated tax. You may incur penalties under the estimated tax rules if your income tax withholding and estimated tax payments are not sufficient.

Indicate the amount of the unforeseeable emergency on the line provided. The amount you request will be a gross amount; that is, federal and/or state income tax will be withheld from your requested amount (unless the Net Amount box has been selected). The unforeseeable emergency amount will be automatically prorated against all of your available investment options.

**Express Delivery -** Express delivery is available for full or partial distributions only. The amount of your distributable check will be reduced by \$25.00 for this service. Express delivery is only available Monday through Friday and is not available to P.O. boxes. Delivery is not guaranteed to all areas.

Automated Clearing House (ACH) - Check this box and complete this section only if you want your payments to be electronically deposited into your checking or savings account. You may not designate a business account or an IRA. Your payment amount will be reduced by \$15.00 for this service. ACH credit can only be made into a United States financial institution (bank/credit union).

Complete the financial institution name, account number, ABA routing number, financial institution mailing address, city, state and zip code. For a checking account, you must attach a preprinted voided check. If a preprinted voided check is not available, you must attach a signed letter from your financial institution, on their letterhead, that confirms the ABA routing number and your name and account number. For a savings account, you must attach a letter on financial institution letterhead signed by a representative of the financial institution that includes your name, savings account number and ABA routing number.

By choosing an ACH credit to your financial institution account, you are authorizing Service Provider to initiate credit entries and, if necessary, debit entries and adjustments for any credit entries in error to your checking or savings account. You are also authorizing your financial institution, in the form of an electronic funds transfer, to credit and/or debit the same to such account. Service Provider will make payments in accordance with the directions you have specified on the Unforeseeable Emergency Form until such time that you notify Service Provider in writing that you wish to cancel the ACH agreement.

Service Provider reserves the right to terminate the ACH transfers for any reason and will notify you in the event of such termination by sending notice to your last known address on file with Service Provider.

It is your obligation to notify Service Provider of any address or other changes affecting your electronic fund transfers prior to your payment date. You are solely responsible for any consequences and/or liabilities that may arise out of your failure to provide such notification.

By selecting an ACH method of delivery, you acknowledge that Service Provider is not liable for payments made by Service Provider in accordance with a properly completed Unforeseeable Emergency Form. By selecting this method of distribution delivery, you are authorizing and directing your financial institution not to hold any overpayments made by Service Provider on your behalf, or on behalf of your estate or any current or future joint accountholder, if applicable.

Your Plan Administrator/Trustee's signature is required.

## Income Tax Withholding Applicable to Payments Delivered Outside the U.S.

If you are a U.S. citizen or resident alien and your payment is to be delivered outside the U.S. or its possessions, you may not elect out of federal income tax withholding.

If you are a non-resident alien, you must attach IRS Form W-8BEN with an original signature. In general, the withholding rate applicable to your payment is 30% unless a reduced rate applies because your country of residence has entered into a tax treaty with the U.S. and the treaty provides for a reduced withholding rate or an exemption from withholding. To obtain the IRS Form W-8BEN, call 1-800-TAX-FORM.

Contact your tax professional for more information.